

# THE WALLACE HIGH SCHOOL WHISTLEBLOWING POLICY

Adopted by Board of Governors on: <u>16 December 2024</u>

To be reviewed: <u>December 2027</u>

- 1.1 Wallace is committed to adopting high standards in education/administration and will treat malpractice as a serious matter.
- 1.2 The Public Interest Disclosure (Northern Ireland) Order 1998 provides protection from dismissal, or other sanction, for teachers who make disclosures of information relating to malpractice by their employer or colleagues at work.
- 1.3 Employees are often the first to suspect or realise that there may be something wrong in their place of work but may not express concerns because of feelings of disloyalty to colleagues or fears of harassment and victimisation.
- 1.4 In line with DENI and the school's commitment to openness, probity and accountability, teachers and others with serious concerns about any aspect of the school's work are encouraged to report them, and if necessary in certain circumstances, on a confidential basis.
- 1.5 This Policy makes it clear that teachers should be enabled to raise concerns without fear of reprisal rather than overlooking a problem or reporting the matter outside.

## 2 The Public Interest Disclosure (Northern Ireland) Order 1998

- 2.1 The Public Interest Disclosure (Northern Ireland) Order 1998, also known as the 'Whistleblowers Act' provides protection for employees who disclose information which may be regarded as confidential and which tends to show one or more of the following:
  - 2.1.1 a criminal offence has been, is being, or is about to be committed;
  - 2.1.2 the employer is failing to comply with his legal obligations;
  - 2.1.3 a miscarriage of justice has happened or is likely to happen;
  - 2.1.4 an individual's health and safety is being jeopardised;
  - 2.1.5 the environment is, or is likely to be damaged; and
  - 2.1.6 information falling into any one of the above categories which has been, is being or is likely to be, deliberately concealed.
- 2.2 An employee must have a reasonable belief that a crime has or may be committed. An employment tribunal will decide whether or not such a belief was reasonable.
- 2.3 In deciding whether or not an employee has acted reasonably, all circumstances will be taken into consideration but in particular,

- 2.3.1 the identity of the person to whom the disclosure is made;
- 2.3.2 the seriousness of the relevant 'offence'
- 2.3.3 whether the 'offence' is continuing or is likely to occur in the future;
- 2.3.4 whether the disclosure is made in breach of a duty of confidentiality owed by the employer to any other person;
- 2.3.5 any action the employer or prescribed person might reasonably be expected to take as a result of a previous disclosure; and
- 2.3.6 whether in making the disclosure to the employer the employee complied with procedures applied by the employer.
- 2.4 Protection applies where 'external' disclosures are made to such bodies as the police. In such instances the school would expect to be satisfied that internal sources had been advised first or that the teacher/s believed they would have been victimised or evidence would have been concealed or destroyed. External disclosures must be made in good faith in the belief that allegations are substantially true and there should be no motive for personal gain.

## 3 Application of Policy

- 3.1 The Policy applies to all staff employed by the school, both full and part-time, temporary and substitute. References to teachers within the Policy covers all of these categories.
- 3.2 The Policy aims to provide an avenue for teachers to raise concerns and receive feedback on any action taken. Teachers may take matters further if they are dissatisfied with the responses received. Teachers will be reassured that they will be protected from reprisals or victimisation for whistleblowing in good faith.
- 3.3 This policy is intended to cover concerns which fall outside the scope of other procedures which already are included in or covered by other Policies e.g. bullying and harassment, discrimination, etc.

#### 4 Protection of Staff

- 4.1 The school is committed to this Policy. If a teacher raises a concern in good faith the school will protect them against harassment or victimisation and will, if necessary, apply the Disciplinary Procedure.
- 4.2 In accordance with the Public Interest Disclosure (Northern Ireland) Order 1998 a teacher cannot be dismissed or selected for redundancy as a result of making a disclosure in good faith.

4.3 Teachers who already are the subject of disciplinary, grievance or redundancy procedures will not have those procedures stopped or suspended as a result of their whistleblowing.

# 5 Confidentiality

- 5.1 The school recognises that teachers may want to raise concerns in confidence under this policy.
- 5.2 If teachers request that their identities be protected, the school will endeavour to honour that request.
- 5.3 If a situation arises where a concern cannot be resolved without revealing the teacher's identity, the school will discuss with the teacher whether and how progress can be made. It may be that evidence, either written or verbal, is required in court proceedings.

#### 6 Anonymous Reports

6.1 The school encourage teachers to put their names to allegations because concerns expressed anonymously are much less powerful. Although the school will consider anonymous reports, this policy is not appropriate for concerns raised anonymously.

## 7 The Procedure for Expressing Concerns

- 7.1 As a first step teachers should raise concerns with their line manager/principal/chair of the Board of Governors. This may be done either orally or in writing. If the report is made orally it should be followed up in writing.
- 7.2 Although this policy seeks to reassure staff that concerns raised will be taken seriously and treated in confidence, the school recognises that there may be circumstances where it is proper to report a concern to an outside body which could be an appropriate regulator such as the Northern Ireland Audit Office or the Health and Safety Executive of Northern Ireland.

The independent whistleblowing charity, Public Concern at Work (or a trade union representative) can advise on such an option and the circumstances in which it is appropriate to contact an outside body safely.

Further information can be found in the Department for the Economy's web publication <u>Guide to the Public Interest Disclosure (NI) Order 1998 (amended March 2022)</u>

Further information can also be found in the <u>NIAO guide Whistleblowing in the</u> Public Sector; A good practice guide for workers and employees.

## 8 Oral Reports

8.1 It is preferable that all complaints are made in writing and signed. However, it is recognised that some teachers may wish only to report the matter orally. In this case, the person receiving the oral report should write it down immediately and record the date and time and sign it. It should, if possible, be read back to the teacher to confirm its accuracy.

Action should be taken to have the complaint investigated as soon as practicable.

#### 9 External Contacts

- 9.1 This Policy is intended to provide a teacher with an avenue to raise concerns within the school. If s/he is not satisfied, and if s/he feels it is right to take the matter outside the (Employing Authority) or school, the list below shows possible contact points:
  - Any Member of the Board of the Governors
  - Relevant Trade Unions
  - Department of Education
  - Department of Culture, Arts and Leisure
  - Department of Employment and Learning
  - His/Her Solicitor
  - The Police Service of Northern Ireland
  - Northern Ireland Ombudsman
  - Northern Ireland Audit Office.
  - National Society for the Prevention of Cruelty to Children
- 9.2 The Comptroller and Auditor General has been prescribed as a person to whom protected disclosures can be made under the Order. He is prescribed as having a role in relation to the proper conduct of public business, value for money, fraud and corruption in relation to the provision of centrally funded public services.
- 9.3 Prescribed persons are responsible for investigating allegations that fall under their prescribed role and for protecting the whistleblower and their interests whilst conducting an investigation.
- 9.4 The NIAO Whistleblower may be contacted at:

The Comptroller and Auditor General Northern Ireland Audit Office 106 University Street Belfast BT1 1EU Telephone Number 028 9025 1023

#### 10 Abuse of this Procedure

- 10.1 It is expected that teachers will operate within the spirit of this policy, with integrity. However, use of this procedure to:
  - make cynical, frivolous, mischievous or vexatious allegations,
  - distract from other issues,
  - divert attention from or action in the application of other procedures,
  - defame or perpetrate malicious falsehoods

is likely to constitute misconduct and render the complainant subject to investigation and disciplinary penalty.